

part of eex group



Application for
approval as a
Trading Participant in
Slovenian Power
Spot Contracts
(3rd party balance
area agreement)

Required in single copy

22.01.2024

Leipzig

Ref. TP_BSP_01b

Release 002

- only required as scan -

Preliminary remark

With this form you are applying for approval as a Trading Participant in Slovenian Power Spot Contracts (Contracts at the Day-Ahead Market and Intraday Market) at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

The physical settlement of Slovenian Power Contracts will be affected by European Commodity Clearing Luxembourg S.a.r.l. (ECC Lux) a subsidiary of ECC. Both ECC and ECC Lux will be mentioned in this form as ECC.

Approval as a Trading Participant requires:

- (a) participation in clearing as a Clearing Member or Non-Clearing Member for the market, where the respective product is being traded,
- (b) admission as an exchange participant at the market or a comparable and by ECC as equivalent acknowledged access to the market, where the respective product is being traded,
- (c) proof of the capability for physical settlement at the Slovenian transmission grid by means of the nomination of a 3rd party with a balance area agreement with the Slovenian Electricity Market Operator Borzen, operater trga z elektriko, d. o. o.
- (d) the declaration of consent of the owner of the balance area agreement to use its balance area agreement for physical settlement.

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant. Both you and the nominated owner of the balance area agreement shall sign the application form in a legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

MemberReadiness@ecc.de
Phone: +49 341 24680 261

3. ECC shall decide on the application regarding approval as a Trading Participant and inform the applicant as well as the Slovenian Power Exchange BSP Energy Exchange LLC (BSP) thereof.

Application

We hereby apply for approval as a Trading Participant in the Products according to the Clearing Conditions of ECC.

- ☐ Slovenian Power Spot Contracts Day-Ahead Market and Pan-European Intraday Auction¹
- ☐ Slovenian Power Spot Contract – Intraday Market

The legal bases for approval as a Trading Participant is established in:

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de.

¹ Contracts for Pan-European Intraday Auctions will be available for members with the Go-Live of Pan-European Intraday Auctions on Single Intraday Coupling (SIDC) level. Expected Go-Live day depends on overall readiness of all market participants and is anticipated in Q2 2024.

Applicant

Member-ID: *

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="E"/>	<input type="text" value="X"/>
----------------------	----------------------	----------------------	--------------------------------	--------------------------------

* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Name of the Company and Company Register	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

Emergency Contact / Nominations / 24/7

Contact person
Contact person
Telephone number
Fax
E-mail

Proof of capability for physical settlement

The balance area agreement of a 3rd party nominated by us should be used for physical settlement of our transactions in Slovenian Power Spot Contracts. The nominated owner of the balance area agreement will declare its consent:

Information regarding to the balance area agreements	
Owner of the balance area agreements nominated by us	Name
	Address
	Contact person
	Telephone number

Information regarding to the balance area agreement	
Market Area	ETSO Identification Code (EIC)
Slovenian Power Spot Day-Ahead and Pan-European Intraday Auction	
Slovenian Power Spot Intraday	

The use of the balance area agreement mentioned above for the physical settlement of our transactions in Slovenian Power Spot Contracts shall take effect:

- ☐ as of the next delivery date
- ☐ possible as of delivery date

The applicant may terminate the use of this balance area agreement at the end of a calendar month with a notice period of 5 business days.

VAT Details

VAT details are only required if a classification for the purpose of VAT treatment hasn't taken place previously or these classifications are not relevant for deliveries of electricity at the Slovenian transmission grid. We have attached the form TP07 "VAT Details" with this application if applicable.

Declarations by the Trading Participant

1. We hereby assure that we will acknowledge and observe the rules and regulations of ECC (in particular the Clearing Conditions) and the rules and regulations of BSP in their respectively valid versions.
2. We recognise that in the case of mismatches between schedules nominated to Borzen d.o.o. the schedules nominated by ECC are binding. Any imbalances between the binding schedules nominated by ECC and the amount of electricity actually injected or withdrawn shall be borne by us.
3. If Borzen d.o.o. rejects the schedules nominated by ECC for the physical settlement of our transactions in Slovenian Power Spot Contracts, because the nominated owner of the balance area agreements failed to provide the capability for physical settlement according to Borzen d.o.o. terms and conditions, we will hold ECC harmless from any damages caused by this rejection.
4. We assure that we will inform ECC immediately with regard to the loss of the proof of the capability for physical settlement. This shall apply especially in the case of the loss of a balance area agreement of the nominated third party or the revocation of its consent to use its balance area agreements for physical settlement of our transactions in Slovenian Power Spot Contracts.
5. We hereby declare our consent for the forwarding of information by ECC regarding physical delivery to BSP and to Borzen d.o.o..

PRIVACY NOTICE

The undersigned assures that he/she has fully informed the contact persons named in connection with the contract, the stock exchange membership relationship or other legal relationships about the transfer of their personal data and that he/she has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the **Trading Participant**:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
-------	------	---

Declarations by the appointed 3rd party

1. We give our consent, that our balance area agreement will be used for the physical settlement of the Trading Participant's transactions in Slovenian Power Spot Contracts, in accordance with the Clearing Conditions of ECC and the rules and regulations of BSP. We may revoke this consent at the end of a calendar month with a notice period of 5 business days.
2. We recognise that in the case of mismatches between schedules nominated to Borzen d.o.o., the schedules nominated by ECC are binding and we will take all measures required, in particular the correction of our schedules, in order to match the schedules nominated by us with the binding schedules nominated by ECC.
3. If Borzen d.o.o. rejects the schedules nominated by ECC for the physical settlement of the Trading Participant's transactions in Slovenian Power Spot Contracts, because we failed to provide the capability for physical settlement according to Borzen d.o.o. terms and conditions, we will undertake appropriate actions to solve the cause of the rejection immediately after being informed.
4. We assure that we will inform ECC immediately with regard to the loss of the proof of the capability for physical settlement. This shall apply especially in the case of the loss of a balance area agreement.
5. We hereby declare our consent for the forwarding of information by ECC regarding physical delivery to BSP and to Borzen d.o.o.
6. We hereby authorise Borzen d.o.o. to inform ECC with regard to our balance area agreement and about the status of our nominated schedules and deliveries.

PRIVACY NOTICE

The undersigned assures that he/she has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he/she has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the appointed owner of the balance area agreements (**3rd Party**):

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
-------	------	---

Declarations by the Clearing Member of the Applicant using designated balance area agreement(s) (3rd party)

We acknowledge, that our Non-Clearing Member will use a balance area agreement of a 3rd party for the physical settlement of its transactions in Power Spot Contracts, in accordance with the Clearing Conditions of ECC and the rules and regulations of BSP Energy Exchange LLC. We may revoke this acknowledgement at the end of a calendar month with a notice period of 7 business days. Our Non-Clearing Member is obliged to provide us with the name and any other relevant data required by use of the respective 3rd party, which the 3rd party has consented to.

PRIVACY NOTICE

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the Clearing Member:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
-------	------	---