

part of eex group



Change of Clearing Member – urgent case

06.11.2023
Leipzig

Ref. NCM05a
Release 013

URGENT

- only required as scan -

Preliminary remark

With this application form you can apply for the change of Clearing Member at European Commodity Clearing AG (ECC) according to the Clearing Conditions of ECC.

This application involves the following steps:

1. Please fill in the application form for change of Clearing Member and sign it in legally binding manner.
2. Submit one scanned version of this application to your future new Clearing Member to countersign.
3. ECC shall receive the application regarding the urgent change of Clearing Member (NCM05a) in soft version until 9am of the business day preceding the direct change to ensure the Change of Clearing Member.
4. Pre-conditions of section 3.1.5 of the Clearing Conditions of ECC are fulfilled.
5. Please inform ECC via phone regarding the urgent change.

ECC Contact:

Fax: +49 341 24680-559

Phone: +49 341 24680-261

Please send the completely signed NCM05a form to the following e-mail address: memberreadiness@ecc.de

For a change of the Clearing Member until the next business day (URGENT APPLICATION) this application has to be received by ECC by 9:00am of the business day preceding the desired change of the Clearing Member! At the same time, ECC shall be notified of the intended change of the clearer via phone.

Application

We hereby apply for the change of Clearing Member according to the Clearing Conditions of ECC.

By signing this application, we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe them. We have downloaded the most recent version of the documents from the ECC website at www.ecc.de

Change of Clearing Member

Applicant

| Name of applicant | |
|----------------------|-------------------------|
| Name of the company | |
| Address of applicant | |
| Country | Street and house number |
| Post code | Place |

We herewith apply to change our Clearing Member and to transfer our positions, if applicable:

for all markets, which will be cleared by ECC AG

or

for following markets:

EEX Asia Pte Ltd.

NOREXECO ASA

EPEX SPOT SE

PXE Power Exchange Central Europe

European Energy Exchange (EEX)

SEEPEX a.d. Beograd

HUDEX Hungarian Derivative Energy Exchange

SEMOpX

HuPX Hungarian Power Exchange

from

current Clearing Member

to

new Clearing Member

To be completed by the Non-Clearing Member:

We give permission to ECC AG that all details concerning current traded products, trading limits and margin requirements could be shared with the new Clearing Member upon request.

We give permission to ECC AG to inform the current CM about the change and the change date.

On behalf of the **NCM**

| Place | Date | Company stamp and legally binding signature(s) of the company |
|-------|------|---|
| | | Name(s): |

With this signature we accept to receive all open positions by the above mentioned Non-Clearing Member as of the date of CM change.

On behalf of the new **CM**

| Place | Date | Company stamp and legally binding signature(s) of the company |
|-------|------|---|
| | | Name(s): |